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Measurement of the atmospheric neutrino-induced upgoing muon flux using MACRO

MACRO collaboration

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Abstract

We present a measurement of the flux of neutrino-induced upgoing muons ($<E_\nu> \sim$ 100 GeV) using the MACRO detector. The ratio of the number of observed to expected events integrated over all zenith angles is 0.74 ± 0.036 (stat) ± 0.046 (systematic) ± 0.13 (theoretical). The observed zenith distribution for $-1.0 \leq \cos\theta \leq -0.1$ does not fit well with the no oscillation expectation, giving a maximum probability for χ^2 of 0.1%. The acceptance of the detector has been extensively studied using downgoing muons, independent analyses and Monte Carlo simulations. The other systematic uncertainties cannot be the source of the discrepancies between the data and expectations.

We have investigated whether the observed number of events and the shape of the zenith distribution can be explained by a neutrino oscillation hypothesis. Fitting either the flux or zenith distribution independently yields mixing parameters of $\sin^2 2\theta = 1.0$ and Δm^2 of a few times 10^{-3} eV^2. However, the observed zenith distribution does not fit well with any expectations, giving a maximum probability for χ^2 of 5% for the best oscillation hypothesis, and the combined probability for the shape and number of events is 17%. We conclude that these data favor a neutrino oscillation hypothesis, but with unexplained structure in the zenith distribution not easily explained by either the statistics or systematics of the experiment.

Over the last decade evidence has been growing for the possibility of oscillation of atmospheric neutrinos. A first anomaly was observed in the ratio of contained muon neutrino to electron neutrino interactions in the IMB [1] and Kamiokande [2] detectors. In addition, the observation of an anomaly in the multi-GeV atmospheric neutrino ratio in Kamiokande suggested specific oscillation parameters with large mixing probability and $\Delta m^2 \approx 10^{-2}$ eV^2 [3]. Recent results from Super-Kamiokande have confirmed the anomaly in the contained event ratio and also show a strong effect in the zenith angle distribution [4] suggesting best fit parameters of $\sin^2 2\theta = 1.0$ and Δm^2 in the range of a few times 10^{-3} eV^2. Also recently, the Soudan 2 detector has confirmed an anomaly in the μ/e ratio of contained events using an iron-based detector [5]. Earlier results from the Frejus [6] and NUSEX [7] detectors are consistent

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with the expected number of contained events though with smaller statistics.

The flux of atmospheric muon neutrinos in the energy region from a few GeV up to hundreds of GeV can be inferred from measurements of upgoing muons in underground detectors. If the anomalies in the atmospheric neutrinos at lower energy are the result of neutrino oscillations, then the flux of upgoing muons should be affected both in the absolute number of events observed and in the shape of the zenith angle distribution, with relatively fewer events observed near the zenith than near the horizontal due to the longer pathlength of neutrinos near the zenith. Previous measurements of the upgoing muon flux have been made by the Baksan [8], Kamiokande [9], IMB [10] and Frejus [6] detectors with no claimed discrepancy with expectations from calculation.

The MACRO detector [11] provides an excellent tool for the study of upgoing muons. Its large area (76.6 m $\times$ 12 m $\times$ 9.3 m), fine tracking granularity (angular resolution on tracks is between 0.1° and 1.0°), good time resolution (about 500 ps), symmetric electronics with respect to upgoing versus downgoing muons and fully-automated analysis permit detailed studies of the detector acceptance and possible sources of backgrounds to upgoing muons. In addition, the overburden of the Gran Sasso Laboratory (minimum rock overburden of 3150 hg/cm^2) is significantly larger than for the locations of the previous experiments with the highest statistics on upgoing muons (Baksan and IMB). This provides additional shielding against possible sources of background induced by downgoing muons.

In our first measurement on upward-going (upgoing) muons, we reported on a deficit in the total number of observed upgoing muons with respect to expectations and also an anomalous zenith angle distribution [12]. Here, we report on a data set with much higher statistics [13] which retains the same basic features as reported previously. In addition, an extensive and exhaustive study has been performed on systematic effects in the analysis and detector acceptance.

The upgoing muon data presented here come from three running periods and detector configurations: the lower half of one supermodule from March 1989 – November 1991 (1.38 effective live-years), the lower half of 6 supermodules from December 1992 – June 1993 (0.413 effective live-years) and the full detector from April 1994 – December 1997 (2.89 effective live-years). Results from the first two periods have already been published [12].

The sign of the direction that muons travel through MACRO is determined from the time-of-flight between at least two different layers of scintillator counters combined with the path length of a track reconstructed in the streamer tubes. The measured muon velocity is calculated with the convention that muons going down through the detector will be expected to have $1/\beta$ near $+1$ while muons going up through the detector will be expected to have $1/\beta$ near -1. Several cuts are imposed to remove backgrounds caused by radioactivity in near coincidence with muons and showering events which may result in bad time reconstruction. The most important cut requires that the position of a muon hit in each scintillator as determined from the timing within the scintillator counter agrees to within ± 70 cm of the position indicated by the streamer tube track.

It has been observed that downgoing muons which pass near or through MACRO may produce low-energy, upgoing particles. These could appear to be neutrino-induced upgoing muons if the downgoing muon misses the detector [14]. This background has been suppressed by imposing a cut requiring that each upgoing muon must traverse at least 200 g/cm^2 of material in the bottom half of the detector. Finally, a large number of nearly horizontal ($\cos\theta > -0.1$), but upgoing muons have been observed coming from azimuth angles (in local coordinates) from 30°-50°. This direction corresponds to a cliff in the mountain where the overburden is insufficient to remove nearly horizontal, downgoing muons which have scattered in the mountain and appear as upgoing. We exclude this region from both our observation and Monte Carlo calculation of the upgoing events.

Fig. 1 shows the $1/\beta$ distribution for the MACRO data from the full detector running (for the older data see the equivalent figure in Ref. [12]). A clear peak of upgoing muons is evident centered on $1/\beta = -1$. There are 398 events in the range $-1.25 < 1/\beta < -0.75$ which we define as our upgoing muon sample from this data set. We combine these data with the previously published data (with 4 additional events due to an updated analysis) for a total of 479
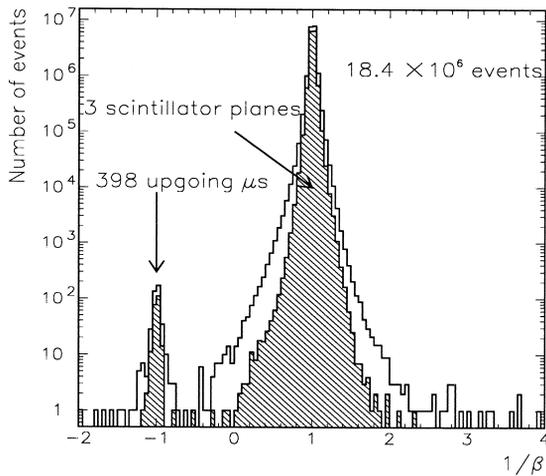
Fig. 1. Distribution of $1/\beta$ for all muons in the data set taken with the full detector apparatus. A clear peak of upgoing muons is evident centered on $1/\beta = -1$. The widths of the distributions for upgoing and downgoing muons are consistent. The shaded part of the distribution is for the subset of events where three scintillator layers were hit.

upgoing events. Based on events outside the upgoing muon peak, we estimate there are 9 ± 5 background events in the total data set. In addition to these events, we estimate that there are 8 ± 3 events which result from upgoing charged particles produced by downgoing muons in the rock near MACRO. Finally, it is estimated that 11 ± 4 events are the result of interactions of neutrinos in the very bottom layer of MACRO scintillator. A statistical subtraction from the data is made for these backgrounds prior to calculation of the flux. Hence, the observed number of upward, through-going muons integrated over all zenith angles is 451.

A Monte Carlo has been used to estimate the expected number of upgoing muons. We use the Bartol neutrino flux [15], which has a systematic uncertainty of $\pm 14\%$, taking into account the agreement with measurements of the flux of muons in the atmosphere. We use the Morfin and Tung parton set S_1 [16] for calculation of the νN cross section. These parton distributions were chosen based on good agreement of the resulting σ_T compared to the world average at $E_\nu = 100$ GeV. We estimate a systematic error of $\pm 9\%$ on the upgoing muon flux due to uncertainties in $\sigma(\nu N)$, including low-energy effects [17]. The energy loss for muons propagating

through rock is taken from Lohmann et al. [18], adjusting the energy loss for the average composition of rock in the Gran Sasso. A 5% systematic uncertainty in the flux of upgoing muons results from this calculation due to uncertainties in the rock composition and uncertainties of muon energy loss. Adding in quadrature all the quoted errors results in a total systematic uncertainty of 17% on the expected flux which is almost uniform with zenith angle. The expected upgoing muon fluxes based on different neutrino fluxes [20–24] are within 10% of the value presented here. The detector has been simulated using GEANT [19], and simulated events are processed in the same analysis chains as the data. An efficiency factor of 0.97 is applied to the expected number of events based on various electronic efficiencies which have been explicitly measured using downgoing muons.

Care has been taken to ensure a complete simulation of the detector acceptance in the Monte Carlo and to minimize the systematic uncertainty in the acceptance. Comparisons have been made between several different analyses and acceptance calculations, including separate electronic and data acquisition systems. Studies have been made on trigger inefficiencies, background subtraction, streamer tube efficiencies, and efficiencies of all data quality cuts. Data distributions over many different variables (positions of events, azimuth angle, time distributions, etc.) have been studied and shown to be consistent with expectations. The sum (in quadrature) of all the systematic errors on the acceptance is $\pm 6\%$ for the total number of events. The systematic uncertainty on the acceptance for zenith angle bins around the horizon is larger than near the vertical due to detector geometry effects and smaller statistics for downgoing muons.

The number of events expected integrated over all zenith angles is 612, giving a ratio of the observed number of events to the expectation of 0.74 ± 0.036 (stat) ± 0.046 (systematic) ± 0.13 (theoretical). The probability to obtain a result at least as far from unity as this is 0.0003 if the Bartol Monte Carlo represents the true parent flux of neutrinos. However, taking into account the relatively large theoretical uncertainty on the flux (mostly on the normalization), the same probability is 0.14. Hence, there is a low probability that the Bartol neutrino flux repre-

sents the true flux of upgoing neutrinos at MACRO, but the uncertainty on the normalization of this flux makes it difficult to conclude (from this test alone) that new physics, such as neutrino oscillations, must be responsible for the discrepancy.

Fig. 2 shows the zenith angle distribution of the measured flux of upgoing muons with energy greater than 1 GeV for all MACRO data compared to the Monte Carlo expectation for no oscillations (solid line) and with an oscillated flux with $\sin^2 2\theta = 1$ and $\Delta m^2 = 0.0025$ eV^2 (dashed line). The range for the Monte Carlo expectation for the unoscillated flux reflects the $\pm 17\%$ systematic uncertainty in that prediction. The shape of the angular distribution is different than the expectation giving a $\chi^2 = 26.1$ for 8 degrees of freedom (probability of 0.001 for a shape at least this different from the expectation) for the case of no oscillations but with the number of events in the Monte Carlo normalized to the number in the data.

To test oscillation hypotheses, we calculate the independent probability for obtaining the number of events observed and the angular distribution for various oscillation parameters. Fig. 3 shows the probability of obtaining a number of events which differs from the expectation by at least as much as the MACRO observation for $\sin^2 2\theta = 1.0$ and various Δm^2 for $\nu_\mu \to \nu_\tau$ oscillations. (This is a two-sided

Fig. 3. Probabilities for obtaining the observed MACRO results on upgoing muons for $\nu_\mu \to \nu_\tau$ oscillations with $\sin^2 2\theta = 1.0$ and for Δm^2 as shown. For the number of events, the curve shows the probability of observing a number of events which differs from the expectation by at least as much as the MACRO data for a given value of Δm^2. For the angular distribution, the curve shows the probability to observe a distribution which is at least as unlike the expectation based on a χ^2 comparison of the shape of the data as a function of zenith angle.

Fig. 2. Zenith distribution of flux of upgoing muons with energy greater than 1 GeV for data and Monte Carlo for the combined MACRO data. The solid curve shows the expectation for no oscillations and the shaded region shows the uncertainty in the expectation. The dashed line shows the prediction for an oscillated flux with $\sin^2 2\theta = 1$ and $\Delta m^2 = 0.0025$ eV^2.

Gaussian probability.) The expectation for $\Delta m^2 = 0.002$ eV^2 agrees with the observed number of events.

The probability of χ^2 for obtaining the observed shape of the angular distribution has been computed as above for oscillation hypotheses and is also presented in Fig. 3 for $\nu_\mu \to \nu_\tau$ oscillations. The number of events under different flux hypotheses is always normalized to the observed number of events for this comparison. A maximum probability of 5% is obtained for a distribution at least this different from the expectation for $\nu_\mu \to \nu_\tau$ oscillations. This occurs for $\sin^2 2\theta = 1.0$ and $\Delta m^2 = 0.0025$ eV^2, but the probability is changed little within a decade of Δm^2 around this value. However, it is notable that the same best value for Δm^2 is obtained independently from both the angular distribution and the number of events. The somewhat low probability for any of these hypotheses is the result of the relatively low number of events in the region $-1.0 < \cos\theta < -0.8$ compared to the number of events in the region $-0.8 < \cos\theta < -0.6$.

Fig. 4 shows probability contours for oscillation parameters using the combination of probability for the number of events and χ^2 of the angular distribu-
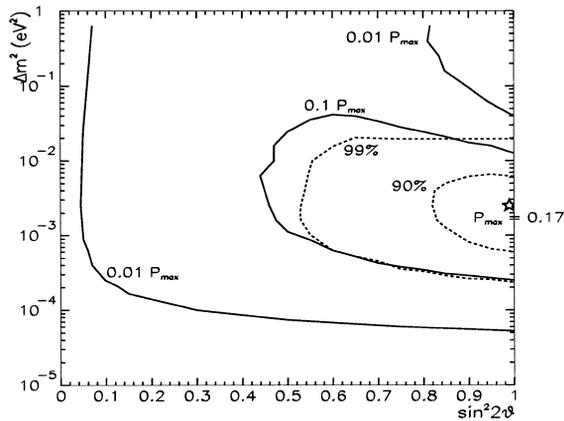
Fig. 4. Probability contours for oscillation parameters for $\nu_\mu \rightarrow \nu_\tau$ oscillations based on the combined probabilities of zenith shape and number of events tests. The best-fit point has a probability of 17% and iso-probability contours are shown for 10% and 1% of this value (i.e. 1.7% and 0.17%). The dashed lines show exclusion confidence intervals at the 90% and 99% levels calculated according to reference [25]. Since the best probability is outside the physical region the confidence intervals regions are smaller than the one expected from the sensitivity of the experiment. The "sensitivity" contour (not shown) is slightly larger than that for 10% of $P_{\max}$.

tion. The best-fit point has a probability of 17%. The solid lines show the probability contours for 10% and 1% of the best-fit value (i.e. 1.7% and 0.17%). The dashed lines show the exclusion contours at the 90% and 99% confidence levels based on application of the Monte Carlo prescription of Ref. [25]. The "sensitivity" (not shown) is slightly larger than the curve for 10% of $P_{\max}$. The sensitivity is the 90% contour which would result from the preceding prescription if the data and Monte Carlo happened to be in perfect agreement at the best-fit point. It should be noted that this prescription for producing confidence-level intervals assumes that the hypothesis is correct.

Possible systematic effects have been studied and shown to be too small to explain the observed anomalous shape in the zenith distribution. The detector acceptance is best understood (from downgoing muons) near the vertical, where the biggest deviation compared to the Monte Carlo without oscillations is observed. The data from all running periods are consistent in the shape of the zenith distribution. We have compared the zenith distribu-

tion of downgoing muons with a Monte Carlo expectation based on the known overburden; the two distributions agree well. We have compared the measured flux of downgoing muons using the same analysis as for the upgoing muons and find the result is consistent with expectations (see Ref. [26]). The possibility of a water-filled cavern below MACRO has been studied, although no such caverns are known to exist in the region of the Gran Sasso. If all of the region below MACRO were water, a maximum 15% depletion would be observed in the flux of upgoing muons. Any realistic water-filled cavern would result in a depletion of no more than about 5%. For MACRO, we have shown that upgoing charged particles produced by downgoing muons contribute a background of 2% of the total number of upgoing muons [14]. This rate could be higher for experiments located in laboratories with less overburden than the Gran Sasso.

It has recently been suggested that oscillations between ν_μ and a sterile ν could qualitatively produce a shape in the zenith distribution of upgoing muons similar to that observed by MACRO [27]. This would result from a matter effect in the center of the Earth. However, due to suppressed oscillation amplitude, the current model does not offer a better quantitative agreement with MACRO data than the $\nu_\mu \rightarrow \nu_\tau$ hypothesis, giving a maximum probability of 2%.

In conclusion, we have reported on a measurement of the flux of upgoing muons, produced by neutrinos (with $< E_\nu > \sim 100$ GeV) originating in atmospheric cosmic-ray showers. The ratio of the number of observed to expected events integrated over zenith angles from $-1.0 \leq \cos\theta \leq -0.1$ is 0.74 ± 0.036 (stat) ± 0.046 (systematic) ± 0.13 (theoretical). The observed zenith distribution does not fit well with the expectation, giving a maximum probability for χ^2 of only 0.1%. The acceptance of the detector has been extensively studied using downgoing muons, independent analyses, and Monte Carlo simulations. The remaining systematic uncertainties cannot be the source of the discrepancies between the data and expectations. We have investigated if the anomaly could be the result of neutrino oscillations. Both techniques independently yield mixing parameters of $\sin^2 2\theta = 1.0$ and Δm^2 of a few times 10^{-3} eV^2. However, the observed zenith distribution

does not fit well with any expectations, giving a maximum probability for χ^2 of only 5% for the best oscillation hypothesis. We conclude that these data favor a neutrino oscillation hypothesis, but with unexplained structure in the zenith distribution not easily explained by either the statistics or systematics of the experiment.

We are analyzing other topologies of neutrino events. We will publish shortly the complementary results from semi-contained events with the neutrino interaction within the detector ($< E_\nu > \sim$ few GeV) and upward going stopping muons [13].

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