# 7. Atmospheric neutrinos and Neutrino oscillations

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### Outlook

- Some history
- Neutrino Oscillations
- How do we search for neutrino oscillations
- Atmospheric neutrinos
- 10 years of Super-Kamiokande
- Upgoing muons and MACRO
- Interpretation in terms on neutrino oscillations
  - Appendix: The Cherenkov light

### 7.1 Some history

- At the beginning of the '80s, some theories (GUT) predicted the proton decay with measurable livetime
- The proton was thought to decay in (for instance)  $p \rightarrow e^+ \pi^0 v_e$
- Detector size:  $10^3 \text{ m}^3$ , and mass 1kt (= $10^{31} \text{ p}$ )
- The main background for the detection of proton decay were atmospheric neutrinos interacting inside the experiment
- Water Cerenkov Experiments (IMB, Kamiokande)
- Tracking calorimeters (NUSEX, Frejus, KGF)
- Result: NO p decay ! But some anomalies on the neutrino measurement!



### 7.2 Neutrino Oscillations

- Idea of neutrinos being massive was first suggested by B. Pontecorvo
- Prediction came from proposal of neutrino oscillations

Neutrinos are created or annihilated as W.I. eigenstates

 $|\nu_e\rangle$ ,  $|\nu_{\mu}\rangle$ ,  $|\nu_{\tau}\rangle$  =Weak Interactions (WI) eigenstats  $|\nu_1\rangle$ ,  $|\nu_2\rangle$ ,  $|\nu_3\rangle$  =Mass (Hamiltonian) eigenstats

•Neutrinos propagate as a superposition of mass eigenstates

- Weak eigenstates (ν<sub>e</sub>, ν<sub>μ</sub>, ν<sub>τ</sub>) are expressed as a combinations of the mass eigenstates (ν<sub>1</sub>, ν<sub>2</sub>, ν<sub>3</sub>).
  These propagate with different frequencies due to their different masses, and different phases develop with distance travelled. *Let us assume two neutrino flavors only.*
- The time propagation:  $|v(t)\rangle = (|v_1\rangle, |v_2\rangle)$

= (2x2 matrix) 
$$\overline{\left|\frac{d|\nu}{dt} = M|\nu(t)\right\rangle}$$

$$M = (2x2 \text{ matrix})$$

$$M_{ii} = \sqrt{p^2 + m_i^2} \approx E_v + \frac{m_i^2}{2E_v}$$

$$M_{ij} = 0$$

### Time propagation

*eq.1* becames, using *eq.2*)

$$i\frac{d|v\rangle}{dt} = \left(E_v + \frac{m_i^2}{2E_v}\right)|v(t)\rangle$$

whose solution is :

$$\left| v_{i}(t) \right\rangle = \left| v_{i}(0) \right\rangle e^{-i\varpi_{i}t}$$



with 
$$\varpi_i = \left(E_v + \frac{m_i^2}{2E_v}\right)$$

During propagation, the phase difference is:

$$\Delta \Phi_i = \frac{(m_2^2 - m_1^2) \cdot t}{2E_v} \leftarrow$$



#### Time evolution of the "physical" neutrino states:

- Let us assume two neutrino flavors only (i.e. the electon and the muon neutrinos).
- They are linear superposition of the n1,n2 eigenstaten:

$$|v_{e}\rangle = \cos\theta |v_{1}\rangle + \sin\theta |v_{2}\rangle \qquad \theta = \text{mixing angle}$$
$$|v_{\mu}\rangle = -\sin\theta |v_{1}\rangle + \cos\theta |v_{2}\rangle \qquad (eq.3)$$

• Using eq. 5 in eq. 3, we get:

$$|v_e\rangle = \cos \theta |v_1(0)\rangle e^{-i\sigma_1 t} + \sin \theta |v_2(0)\rangle e^{-i\sigma_2 t} |v_\mu\rangle = -\sin \theta |v_1(0)\rangle e^{-i\sigma_1 t} + \cos \theta |v_2(0)\rangle e^{-i\sigma_2 t}$$

(eq.7)

$$\begin{vmatrix} v_e \\ e \end{vmatrix} = \cos \theta \begin{vmatrix} v_1(0) \\ v_1(0) \end{vmatrix} + \sin \theta \begin{vmatrix} v_2(0) \\ v_2(0) \end{vmatrix}$$
$$\begin{vmatrix} v_\mu \\ e \end{vmatrix} = -\sin \theta \begin{vmatrix} v_1(0) \\ e \end{vmatrix} + \cos \theta \begin{vmatrix} v_2(0) \\ e \end{vmatrix}$$



• By inversion of eq. 8:

$$\begin{vmatrix} v_1(0) \rangle = \cos \theta & |v_e(0)\rangle - \sin \theta & |v_\mu(0)\rangle \\ |v_2(0)\rangle = \sin \theta & |v_e(0)\rangle + \cos \theta & |v_\mu(0)\rangle \\ \end{vmatrix}$$

• For the experimental point of view (accelerators, reactors), a pure muon (or electron) state a t=0 can be prepared. For a pure  $v_{\mu}$  beam, eq. 9:

$$\begin{vmatrix} v_1(0) \rangle = -\sin \theta & v_{\mu}(0) \rangle \\ |v_2(0) \rangle = \cos \theta & v_{\mu}(0) \rangle$$

The time evolution of the  $\nu_{\mu}$  state of eq. 8:

$$\left\| v_{\mu} \right\rangle = \sin^{2} \theta \left| v_{\mu}(0) \right\rangle e^{-i \sigma_{1} t} + \cos^{2} \theta \left| v_{\mu}(0) \right\rangle e^{-i \sigma_{2} t} \right\|$$

By definition, the probability that the state at a given time is a  $V_{\mu}$  is:

$$P_{\nu_{\mu}\nu_{\mu}} \equiv \left| \left\langle \nu_{\mu}^{0} | \nu_{\mu}^{t} \right\rangle \right|^{2}$$

•Using eq. 11, the probability:

(*eq.11*)

$$P_{\nu_{\mu}\nu_{\mu}} \equiv \left| \left\langle \nu_{\mu}^{0} | \nu_{\mu}^{t} \right\rangle \right|^{2} = \sin^{4} \theta + \cos^{4} \theta + \\ + \sin^{2} \theta \cos^{2} \theta \left( e^{i(\sigma_{1} - \sigma_{2})t} + e^{-i(\sigma_{1} - \sigma_{2})t} \right) \right|$$

i.e. using trigonometry rules:

$$P_{\nu_{\mu}\nu_{\mu}} = 1 - \sin^2 2\theta \cdot \sin^2 \left[ \frac{(\varpi_1 - \varpi_2)t}{2} \right]$$

Finally, using eq.5: 
$$\varpi_i = \left(E_v + \frac{m_i^2}{2E_v}\right)$$
  
 $P_{v_{\mu}v_{\mu}} = 1 - \sin^{-2} 2\theta \cdot \sin^{-2} \left[\frac{(m_{2}^{-2} - m_{1}^{-2})t}{4E_v}\right]$  (eq. 15)

With the following substitutions in eq.15: - the neutrino path length L=ct (in Km) - the mass difference  $\Delta m^2 = m_2^2 - m_1^2$  (in eV<sup>2</sup>) - the neutrino Energy Ev (in GeV)

$$P_{\nu_{\mu}\nu_{\mu}} = 1 - \sin^2 2\theta \cdot \sin^2 \left[ 1.27 \frac{\Delta m^2 \cdot L}{E_{\nu}} \right]$$
(eq. 16)

To see "oscillations" pattern:

$$\begin{array}{ccc} \theta \neq 0 \\ \left[ 1.27 \quad \frac{\Delta m^2 \cdot L}{E_v} \right] \approx \frac{\pi}{2} \end{array}$$

7.3 How do we search for neutrino oscillations? ... Depends Upon Two Experimental Parameters:

- L The distance from the  $\nu$  source to detector (km)
- E The energy of the neutrinos (GeV)
- ...And Two Fundamental Parameters:
  - $\Delta m^2=m_2^2-m_1^2$
  - $\sin^2 2\theta$



Distance from  $\nu$  source (L)

The  $\Delta m^2$  and  $\sin^2 2\theta$  accessible to  $\nu_{\mu}$  oscillation experiments are set by the *L*, *E*, and  $\nu_{\mu}$  intensity available.

### ..with atmospheric neutrinos

- $\Delta m^2$ ,  $\sin^2 2\Theta \rightarrow$  from Nature;
- Ev = experimental parameter (energy distribution of neutrino giving a particular configuration of events)
  L = experimental parameter (neutrino path length from production to interaction)





- Small  $\Delta m^2 \rightarrow$  small  $P_{osc}$ Unless the experiment has large L/Eto compensate!
- Large  $\Delta m^2 \rightarrow$  oscillations happen rapidly For a single  $\nu$  energy:



But beams have a wide E range, detectors have finite resolution and large size: .  $\langle \sin^2(1.27\Delta m^2 L/E) \rangle = 1/2$ By choosing L/E too large, You can lose sensitivity to  $\Delta m^2$ 

• Small  $\sin^2 2\theta \rightarrow$  small probability, So an experiment needs high statistics



### 7.4- Atmospheric neutrinos



## The recipes for the evaluation of the atmospheric neutrino flux-



### i) The primary spectrum



### ii)- CR-air cross section

It needs a model of nucleus-nucleus interactions



pp Cross section versus center of mass energy.

Average number of charged hadrons produced in pp (and pp) collisions versus center of mass energy

### iii) Model of the atmosphere

#### **ATMOSPHERIC NEUTRINO PRODUCTION:**

•high precision 3D calculations,

•refined geomagnetic cut-off treatment (also geomagnetic field in atmosphere)

•elevation models of the Earth

different atmospheric profilesgeometry of detector effects



### Output: the neutrino $(v_e, v_\mu)$ flux



gure 18: Comparison of neutrino flux calculations for the location of Kamio}



See for instance the FLUKA MC: http://www.mi.infn.it/~battist/ne utrino.html

### iv) The Detector response



### Rough estimate: how many 'Contained events' in 1 kton detector



 $N_{int} = \Phi_v (cm^{-2} s^{-1}) \times \sigma_v (cm^2) \times M (nuc/kton) \times t (s/y) \sim -100 \text{ interactions/ (kton y)}$ 



### Measurement of contained events and SuperKamiokande (Japan)



- 1000 m Deep Underground
- 50.000 ton of Ultra-Pure Water

■ 11000 +2000 PMTs





### **Cherenkov Radiation**

- As a charged particle travels, it disrupts the local electromagnetic field (EM) in a medium.
- Electrons in the atoms of the medium will be displaced and polarized by the passing EM field of a charged particle.
- Photons are emitted as an insulator's electrons restore themselves to equilibrium after the disruption has passed.
- In a conductor, the EM disruption can be restored without emitting a photon.
- In normal circumstances, these photons destructively interfere with each other and no radiation is detected.
- However, when the disruption travels faster than light is propagating through the medium, the photons constructively interfere and intensify the observed Cerenkov radiation.



### **Cherenkov Radiation**



#### One of the 13000 PMTs of SK



### How to tell a $v_{\mu}$ from a $v_{e}$ : <u>Pattern recognition</u>

#### Particle ID in a Cerenkov Detector:









### Contained event in SuperKamiokande





### Contained events. The up/down symmetry in SK and $v_{\mu}/v_{e}$ ratio.

Up/Down asymmetry interpreted as neutrino oscillations





Zenith angle distributions for e-like and  $\mu$ -like contained atmospheric neutrino events in SK. The lines show the best fits with (red) and without (blue) oscillations; the best-fit is  $\Delta m^2 = 2.0 \times 10^{-3} \text{ eV}^2$  and  $\sin^2 2\theta = 1.00$ .



NOTE: All topologies, last results (September 2007)

### **Atmospheric Neutrino Anomaly**

<u>Summary results since the mid-1980's:</u>



### 7.6 Upgoing muons and MACRO (Italy)

- Large acceptance (~10000 m<sup>-2</sup>sr for an isotropic flux)
- Low downgoing  $\mu$  rate (~10<sup>-6</sup> of the surface rate)
- ~600 tons of liquid scintillator to measure T.O.F. (time resolution ~500psec)
- ~20000 m<sup>-2</sup> of streamer tubes (3cm cells) for tracking (angular resolution < 1°)</li>

R.I.P December 2000

MASE

### The Gran Sasso National Labs



### Neutrino event topologies in MACRO



- *Liquid scintillator counters*, (3 planes) for the measurement of **time** and **dE/dx**.
- *Streamer tubes* (14 planes), for the measurement of the track position;
- Detector mass: 5.3 kton
- Atmospheric muon neutrinos produce upward going muons
- Downward going muons  $\sim 10^6$  upward going muons
- Different neutrino topologies

### Energy spectra of $v_{\mu}$ events in MACRO

- <E>~ 50 GeV throughgoing μ
- <E>~ 5 GeV, Internal Upgoing (IU) μ;
- <E>~ 4 GeV , internal downgoing (ID) μ and for upgoing stopping (UGS) μ;





## MACRO Results: event deficit and distortion of the angular distribution



### **MACRO** Partially contained events



Obs. 154 events Exp. 285 events Obs./Exp. =  $0.54 \pm 0.15$ 



**Obs. 262 events Exp. 375 events Obs./Exp. = 0.70±0.19**,

consistent with up throughgoing muon results

### Effects for $v_{\mu}$ oscillations on upgoing events

1A

\*\*\*\*\*\*

**Earth** 

• If  $\theta$  is the zenith angle and D= Earth diameter L=Dcos $\theta$ 

underground For throughgoing neutrino-induced muons in detector MACRO, Ev = 50 GeV (from Monte Carlo)





### **Oscillation Parameters**

• The value of the "oscillation parameters"  $\sin^2\theta$  and  $\Delta m^2$  correspond to the values which provide the best fit to the data

- Different experiments  $\rightarrow$  different values of  $\sin^2\theta$  and  $\Delta m^2$
- The experimental data have an associated error. All the values of  $(\sin^2\theta, \Delta m^2)$  which are compatible with the experimental data are "allowed".
- The "allowed" values span a region in the parameter space of  $(\sin^2\theta, \Delta m^2)$

$$P_{\nu_{\mu}\nu_{\mu}} = 1 - \sin^2 2\theta \cdot \sin^2 \left[ 1.27 \frac{\Delta m^2 \cdot L}{E_{\nu}} \right]$$

 $1.9 \times 10^{-3} eV^2 < \Delta m^2 < 3.1 \times 10^{-3} eV^2$  $sin^2 2\theta > 0.93$ (90% CL)

### "Allowed" parameters region



90% C. L. allowed regions for  $\nu_{\mu} \rightarrow \nu_{\tau}$  oscillations of atmospheric neutrinos for Kamiokande, SuperK, Soudan-2 and MACRO.

### Why not $\nu_{\mu} \rightarrow \nu_{e}$ ?





### $v_{\mu}$ disappearance: History

μ/e)Data/(μ/e)MC

- Anomaly in  $R = (\mu/e)_{observed}/(\mu/e)_{predicted}$ 
  - Kamiokande: PLB 1988, 1992
  - Discrepancies in various experiments
- Kamiokande: Zenith-angle distribution
  - Kamiokande: PLB 1994
- Super-Kamiokande/MACRO: Discovery of ν<sub>μ</sub> oscillation in 1998
  - Super-Kamiokande: PRL 1998
  - MACRO, PRL 1998
- K2K: First accelerator-based long baseline experiment: 1999 – 2004 Confirmed atmospheric neutrino results
  - Final result 4.3σ: PRL 2005, PRD 2006
- MINOS: Precision measurement: 2005 -
  - First result: PRL2006



### See for review:

- The "Neutrino Industry"
  - http://www.hep.anl.gov/ndk/hypertext/
- Janet Conrad web pages:
  - http://www.nevis.columbia.edu/~conrad/nupage.html
- Fermilab and KEK "Neutrino Summer School"
  - http://projects.fnal.gov/nuss/
- Torino web Pages:
  - <u>http://www.nu.to.infn.it/Neutrino Lectures/</u>
- Progress in the physics of massive neutrinos, hepph/0308123

### Appendice: La radiazione Cerenkov

### Effetto Cerenkov

#### Per una trattazione classica dell'effetto Cerenkov: Jackson : Classical Electrodynamics, cap 13 e par. 13.4 e 13.5

La radiazione Cerenkov e' emessa ogniqualvolta una particella carica attraversa un mezzo (dielettrico) con velocita'  $\beta c=v>c/n$ , dove v e' la velocita' della particella e n l'indice di rifrazione del mezzo.

Intuitivamente: la particella incidente polarizza il dielettrico  $\rightarrow$  gli atomi diventano dei dipoli. Se  $\beta > 1/n \rightarrow$  momento di dipolo elettrico  $\rightarrow$  emissione di radiazione.



L'angolo di emissione  $\theta_c$  puo' essere interpretato qualitativamente come un'onda d'urto come succede per una barca od un aereo supersonico.



Esiste una velocita' di soglia  $\beta_s = 1/n \rightarrow \theta_c \sim 0$ Esiste un angolo massimo  $\theta_{max} = \arccos(1/n)$ 

La  $\cos(\theta) = 1/\beta n$  e' valida solo per un radiatore infinito, e' comunque una buona approssimazione ogniqualvolta il radiatore e' lungo L>> $\lambda$  essendo  $\lambda$  la lunghezza d'onda della luce emessa

Numero di fotoni emessi per unita' di percorso e intervallo unitario di lunghezza d'onda. Osserviamo che decresce al crescere della  $\lambda$ 

$$\frac{d^2 N}{dx d\lambda} = \frac{2\pi z^2 \alpha}{\lambda^2} \left( 1 - \frac{1}{\beta^2 n^2} \right) = \frac{2\pi z^2 \alpha}{\lambda^2} \sin^2 \theta_C$$
$$\frac{d^2 N}{dx d\lambda} \propto \frac{1}{\lambda^2} \quad \text{with} \quad \lambda = \frac{c}{\nu} = \frac{hc}{E} \quad \frac{d^2 N}{dx dE} = const.$$

Il numero di fotoni emessi per unita' di percorso non dipende dalla frequenza





$$-\frac{dE}{dx} = z^2 \alpha \frac{\hbar}{c} \int \omega \left(1 - \frac{1}{\beta^2 n^2(\omega)}\right) d\omega$$

L' energia persa per radiazione Cerenkov cresce con  $\beta$ . Comunque anche con  $\beta \rightarrow 1$  e' molto piccola.

Molto piu' piccola di quella persa per collisione (Bethe Block), al massimo 1% .

medium	n	$\theta_{\max}(\beta=1)$	$N_{ph} (eV^{-1} cm^{-1})$
air	1.000283	1.36	0.208
isobutane	1.00127	2.89	0.941
water	1.33	41.2	160.8
quartz	1.46	46.7	196.4

- 1) Esiste una soglia per emissione di luce Cerenkov
- 2) La luce e' emessa ad un angolo particolare
- →Facile utilizzare l'effetto Cerenkov per identificare le particelle.
  - Con 1) posso sfruttare la soglia  $\rightarrow$  Cerenkov a soglia.
  - Con 2) misurare l'angolo  $\rightarrow$  DISC, RICH etc.
- La luce emessa e rivelabile e' poca.

Consideriamo un radiatore spesso 1 cm un angolo  $\theta_c = 30^\circ$  ed un  $\Delta E = 1 \text{ eV}$  ed una particella di carica 1.

$$\frac{dN}{dEdx} = \frac{z^2 \alpha}{\hbar c} \sin^2 \vartheta_c$$
$$\Rightarrow N_{ph} = 370 \cdot \sin^2 \vartheta_c \cdot L \cdot \Delta E = 370 \times 0.25 = 92.5$$

Considerando inoltre che l'efficienza quantica di un fotomoltiplicatore e'  $\sim 20\% \rightarrow N_{pe}=18 \rightarrow fluttuazioni alla Poisson$